

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

## COMPLETION Under

Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Daniel Djakiew

Appln. No.:

10

071,648

Atty.Dkt.

P

0280704

M#

DJDA421003

Client Ref

Series Code 1

Serial No. 1

Filed: February 11, 2002

Title: Methods for the Treatment and Diagnosis of Prostate Cancer Based on P75NTR Tumor Suppression

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: June 4, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Notice to File Missing Parts  copy attached  not yet received
- Signed Declaration attached.  Original  Facsimile/Copy
 

(Always "X" box 2 if filing signed Declaration and  
"X" box 2A only if top box of the Declaration is X'd and file application copy, or  
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)
- Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
- Specification originally filed in non-English language; hence verified translation attached of:
  - Abstract
  - #  pages of Specification(only spec. & claims)
  - Drawing(s) No of Sheets \_\_\_\_\_
  - Fig(s). \_\_\_\_\_
- Letter filing formal drawing attached.
- Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
- DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):
 

Application No.	Filing Date	Application No.	Filing Date
(1) 60/268,940	February 16, 2001	(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11.  Attached:

12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

			Large/Small Entity	Fee Code
13. Basic Filing Fee .....	Design Application	\$330/\$165		106/26
	Not Design Application	\$740/\$370	+210	101/201
14. Total Effective Claims	34	minus 20 =	14	x \$18/\$9
				+126
15. Independent Claims	8	minus 3 =	5	x \$84/\$42
				+210
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)		\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late		\$130/\$65	+65	105/205
18.			FILING FEE =	\$611
19. Original due date:	May 28, 2002			
20. Petition is hereby made to extend the original due date to (1 mo)		\$110/\$55 =	+55	115/215
cover the date this response is filed for which the requisite fee (2mos)		\$400/\$200 =		116/216
is attached (3mos)		\$920/\$460 =		117/217
		\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. ....		\$40	+40	581
23. Petition Fee for		\$130	+0	
24.			TOTAL FEE =	\$706
			PLEASE CHARGE	OUR DEP. ACCT.

Our Deposit Account No. 03-3975

Our Order No.

082137

C#

0280704

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

By Atty: Robin L. Teskin

Reg. No. 35,030

Sig: Robin L. Teskin

Fax: (703) 905-2500  
Tel: (703) 905-2200

Atty/Sec: RLT/LAK

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of

Inventor(s): Daniel Djakiew

Appln. No.:

10

JUN 04 2002

Series Code ↑

071,648

Serial No. ↑

GAU:

1642

Atty. Dkt.

P 0280704

M#

DJDA421003

Filed: February 11, 2002

Title: Methods for the Treatment and Diagnosis of Prostate  
Cancer Based on P75NTR Tumor Suppression

Date: June 4, 2002

FILING OF FORMAL DRAWING(S)Hon. Commissioner of Patents  
Washington, D.C. 20231

Sir:

1. Please accept the herewith 20 replacement sheet(s)
2. of formal drawing(s) on  A4  11" size paper
3. of Figure(s) 1-24
4. of which Figure(s) 1-4D, 7A-13B, 15A-16, 18-23D are black and white photographic drawings (DO NOT use this form for photographic drawings in color (see PAT-280).
5.  which is/are in lieu of the informal drawing(s) filed earlier.
6.  which include the corrections required/approved by the Draftsperson/Examiner
7. In the Notice to File Missing Parts Dated March 28, 2002

NOTE

- A. PTO waived requirement for 3 sets of B/W photos June 9, 1998, 1211 OG 34;
- B. PTO waived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 OG 108.
- C. Petition and fee are still required for photographs which are in color. See Rule 84(a)(2).

A,B,C were confirmed in 9/8/00 Rule 84 changes

		Large/Small Entity	Fee Code
<b>8. Original due date: February 5, 2002</b>	<input type="checkbox"/> NONE		
9. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) <input type="checkbox"/> (2 mos) <input type="checkbox"/> (3 mos) <input type="checkbox"/>	\$110/\$55 = \$390/\$195 = \$890/\$445 =	\$55 115/215 116/216 117/217
<b>10.</b>		<b>TOTAL FEE ENCLOSED</b>	<b>\$55</b>

(Our Deposit Account No. 03-3975)  
(Our Order No. 082137)

C#

0280704

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1600 Tysons Boulevard

By Atty: Robin L. TeskinReg. No. 35,030

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: RLT/lak

Sig: Robin L. Teskin

Fax: (703) 905-2500

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**NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments**



1000X1648 .0649002

Page 1 of 2

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,648	02/11/2002	Daniel Djakiew	P 0280704 DJDA421003

CONFIRMATION NO. 1853

00909  
PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

## FORMALITIES LETTER



\*0000000007738591\*

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.  
*Applicant must submit \$ 210 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$336.
  - \$126 for 14 total claims over 20.
  - \$210 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 611.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/06/2002 MBIZUNES 00000011 033975 10071648

01 FC:201	210.00 CH
02 FC:203	126.00 CH
03 FC:202	210.00 CH
04 FC:205	65.00 CH

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*A copy of this notice MUST be returned with the reply.*

*M-HAILE*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE